



INSURANCE FRAUD INVESTIGATION DIVISION
Kentucky Department of Insurance
909 Leawood Drive, P. O. Box 4050, Frankfort, KY 40604-4050
Phone 502-564-1461 – FAX 502-564-1464
Fraud Statistics/Convictions Activity
March 2014

| <u>Fraud Statistics</u> | <u>Month/March</u> | <u>YTD</u> |
|--|---------------------------|-------------------|
| Total Referrals Received..... | 139 | 391 |
| Closed Referrals..... | 59 | 194 |
| Open Cases (Investigations – includes prior years)..... | | 374 |
| Assigned Cases (Investigations)..... | 10 | 23 |
| Closed Cases (Investigations)..... | 2 | 17 |
| Closed Cases (Investigations) Exceptionally Cleared – Declined by Prosecutor..... | | 2 |
| Charges (5 felony, 0 misdemeanor) | 5 | 22 |
| Convictions (4 felony, 0 misdemeanor) | 4 | 9 |
| Restitution Ordered..... \$ | 12,432.00 | 164,772.12 |
| Restitution Agreed..... \$ | .00 | .00 |
| Restitution Total..... \$ | 12,432.00 | 164,772.12 |
| Investigation Expenses to Division | | |
| Awarded..... \$ | .00 | 2,185.84 |
| Collected..... \$ | 929.54 | 4,048.97 |
| DOI Fines..... \$ | .00 | .00 |
| Administrative Subpoenas Issued..... | 1 | 2 |
| Non-Licensed Individuals..... | 1 | 2 |
| Licensed (active/inactive individuals)..... | | |
| Subpoenas Received..... | 4 | 13 |

Convictions Activity

Leslie Carlisle – Carlisle, an agent, filed a claim for a lost ring in August 2010 and her insurer paid the claim. She then filed another claim for the same ring with another insurance company a year later. An investigation revealed that no loss occurred in either instance. Carlisle entered a guilty plea on two counts of fraudulent insurance acts over \$500 and was sentenced to two years on each count. Carlisle also pleaded guilty to two counts of criminal possession of a forged instrument in the second degree. Carlisle was sentenced to two years on each count to run concurrently. Restitution to the insurers of \$12,432 and a fine of \$2,000 also were ordered.